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8156 7590 11/29/2006

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03/05/2007 SSESHE2 00000037 10731745

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John P. O'Banion

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10731,745	12/08/2003	Peidong Yang	UC03-118-3	5099

TITLE OF INVENTION: SACRIFICIAL TEMPLATE METHOD OF FABRICATING A NANOTUBE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	02/28/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
RAO, G NAGESH	1722	117-084000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 John P. O'Banion

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA OAKLAND, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Date

Typed or printed name

John P. O'Banion

Registration No.

33201

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/731,745 Confirmation No.: 5099
Applicant : PEIDONG YANG ET AL.
Title : SACRIFICIAL TEMPLATE METHOD OF FABRICATING A NANOTUBE
Filed : 12/08/2003
TC/A.U. : 1722
Examiner : RAO, G. NAGESH
Docket No. : UC03-118-3
Cust. No. : 8156

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

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John P. O'Banion
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(date)

ISSUE FEE TRANSMITTAL LETTER
(Small Entity)

Dear Sir:

The following items are enclosed:

1. Part B - Fee(s) Transmittal (PTOL-85);
2. Check in the amount of \$ 1000
X \$700 issue fee
X \$300 publication fee

Please charge any fee deficiency to Deposit Account No. 07-1137.

Date: 2/27/07

Respectfully submitted,

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